Algner® LEGAL INDEX" EXHIBIT DIVIDERS

A AVERY®

Exhibit 4

VOLUM

NUMBER 14



BEST AVAILABLE COPY

Proceedings OF THE

National Academ of Sciences

OF THE UNITED STATES OF AMERICA

JUL 25 139

THE GEORGE WASHINGTON UNIVERSITY
PAUL HIMMELFAR3 HEALTH SCIENCES LIBRARY
2300 EYE STREET, N. W.
WASHINGTON, DC 20037

A third human retinoic acid receptor, hRAR-γ

(skin/nuclear receptors/vitamin A/transcriptional activation)

A. KRUST, Ph. KASTNER, M. PETKOVICH, A. ZELENT, AND P. CHAMBON*

Laboratoire de Génétique Moléculaire des Eucaryotes du Centre National de la Recherche Scientifique. Unité 184 de Biologie Moléculaire et de Génie Génétique de l'Institut National de la Santé et de la Recherche Médicale, Institut de Chimie Biologique, Faculté de Médecine, 11, rue Humann, 67085 Strasbourg Cédex, France

Contributed by P. Chambon, April 24, 1989

Retinoic acid receptors (RARs) are retinoic ABSTRACT acid (RA)-inducible enhancer factors belonging to the superfamily of steroid/thyroid nuclear receptors. We have previously characterized two human RAR (hRAR- α and hRAR- β) cDNAs and have recently cloned their murine cognates (mRAR- α and mRAR- β) together with a third RAR (mRAR- γ) whose RNA was detected predominantly in skin, a well-known target for RA. mRAR-γ cDNA was used here to clone its human counterpart (hRAR-y) from a T47D breast cancer cell cDNA library. Using a transient transfection assay in HeLa cells and a reporter gene harboring a synthetic RA responsive element, we demonstrate that hRAR-γ cDNA indeed encodes a RAinducible transcriptional trans-activator. Interestingly, comparisons of the amino acid sequences of all six human and mouse RARs indicate that the interspecies conservation of a given member of the RAR subfamily (either α , β , or γ) is much higher than the conservation of all three receptors within a given species. These observations indicate that $RAR-\alpha$, $-\beta$, and - γ may perform specific functions. We show also that hRAR- γ RNA is the predominant RAR RNA species in human skin, which suggests that hRAR- γ mediates some of the retinoid effects in this tissue.

Retinoic acid (RA) is a vitamin A (retinol) metabolite that has marked effects on growth of normal and malignant cells, pattern formation in limb development and regeneration, and fetal development (refs. 1 and 2 and refs. therein). Although the cellular RA binding protein, which is found in many retinoid target tissues, may be important in pattern formation during limb development (2), it is clearly absent from some cells known to respond to RA (ref. 3 and refs. therein). The direct effects of retinoids may in fact be mediated by the recently described nuclear RA receptors (RARs), which act as ligand-inducible transcriptional enhancer factors and belong to the nuclear receptor superfamily, which includes thyroid and steroid hormone receptors. Two forms of RAR have been characterized in human (hRAR- α and - β) (4-7) and mouse (mRAR- α and - β) (8) and a third receptor (mRAR- γ) has been recently identified in mouse (8). Studies of the distribution of mRNA for these receptors in postnatal and adult mouse tissues have indicated that, whereas RAR- α is ubiquitously expressed and RAR-\(\beta\) RNA is present at lower levels in a number of the tissues examined, RAR-y RNA is found almost exclusively and at comparatively high levels in

skin (8).

Given the essential role of retinoids in epidermal differentiation and their effectiveness in the treatment of several skin disorders (refs. 9–11 and refs. therein), the determination of the cellular distribution of RAR-y and of its specific function in human skin will be of obvious biological and clinical interest. To this end, we therefore sought to clone the cDNA

for the human counterpart of the mRAR-y. We report here the characterization of the hRAR-y cDNA and show that the corresponding mRNA is the predominant RAR RNA species in skin.

ن

 \mathfrak{t} :

MATERIALS AND METHODS

Cloning and Sequencing of hRAR- γ cDNA. Approximately 10⁶ phage from T47D cell breast cancer λ gt11 (4) and λ gt10 (a gift of J. M. Garnier, Laboratoire de Génétique Moléculaire des Eucaryotes, Strasbourg, France) libraries were screened with a ³²P-labeled nick-translated cDNA probe (4) encompassing the entire mRAR- γ open reading frame (ORF) as described (8). Eight clones were isolated that gave strong hybridization signals after two rounds of screening.

cDNA inserts were subcloned into the EcoRI site of pEMBL19⁺ (4) and sequenced by the dideoxy nucleotide chain-termination technique on both strands (12) with synthetic oligonucleotide primers.[†]

RNA Isolation and Northern Blot Analysis. Poly(A)⁺ RNA was isolated and electrophoresed through a 1% agarose formaldehyde gel as described (8, 13). The gels were blotted onto nitrocellulose filters (Schleicher & Schüll BA85), and hybridization and washing of the filters under stringent conditions with randomly primed 32 P-labeled probes derived from hRAR- α , $-\beta$, and $-\gamma$ cDNA were performed as described (14). Autoradiography was performed with Kodak XAR-5 films at -80° C and intensifying screens.

Transcriptional Activation by hRAR- $\gamma 0$. The entire 1.5-kilobase (kb) insert from the hRAR- γD clone was inserted into the EcoRI site of the eukaryotic expression vector pSG5 (15), to yield hRAR- $\gamma 0$. hRAR- $\gamma 0$ (500 ng) was transfected into HeLa cells along with 2 μg of the (TRE₃)₃-thymidine kinase (tk)-chloramphenicol acetyltransferase (CAT) reporter plasmid (8), 2 μg of a β -galactosidase-expressing plasmid pCH110 (to normalize for variations in transfection efficiency), and 15 μg of carrier DNA (BSM13⁺) as described (4, 8). Twenty-four hours after transfection, the cells were exposed for an additional 24 hr to RA or retinol with concentrations ranging from 10 pM to 1 μ M. Extracts of HeLa cells were prepared and assayed for CAT activity as described (4).

RESULTS

Cloning of hRAR- γ cDNA. When Northern blots of poly(A) RNA prepared from the human T47D breast cancer cell line were hybridized with a ³²P-labeled cDNA probe

Abbreviations: RA, retinoic acid; RAR, retinoic acid receptor; $hRAR-\alpha$, $-\beta$, and $-\gamma$, human $RAR\alpha$, $RAR\beta$, and $RAR\gamma$; $mRAR-\alpha$, $-\beta$, and $-\gamma$, mouse $RAR\alpha$, $RAR\beta$, and $RAR\gamma$; ORF, open reading frame; tk, thymidine kinase; CAT, chloramphenicol acetyltransferase. *To whom reprint requests should be addressed.

*To whom reprint requests should be addressed.

The sequence reported in this paper has been deposited in the GenBank data base (accession no. M24857).

The publication costs of this article were defrayed in part by page charge payment. This article must therefore be hereby marked "udvertisement" in accordance with 18 U.S.C. §1734 solely to indicate this fact.

1879 TCTCCCCAACCCCTTCCAATGAGCG

corresponding to the entire ORF of mRAR-y, a 3.3-kb cross-hybridizing species could be detected that was distinct from those observed using either hRAR-α or -β (see below and data not shown). Randomly primed T47D cell cDNA libraries constructed in Agt11 and Agt10 and 32P-labeled mRAR-y cDNA were used to isolate the corresponding cDNA clones. Positive clones were further processed for sequence analysis. Two of these clones, hRAR-yA and -yD (2.0 and 1.5 kb long, respectively) contained a common major ORF that conceptually encodes a 454-amino acid long protein (Mr 50,347), exhibiting 97% homology with mRAR-y (458 amino acid residues long) (see Fig. 1, in which the sequence of the clone hRAR-yA is presented and amino acids that differ between human and mouse are boxed). Half of these differing amino acids are located at the C-terminal end of the proteins and result from a shift in the codon reading frame arising from a single thymidilic residue insertion at position 1763 of hRAR-yA (Fig. 1). This frameshift was also found in hRAR-yD and -yE cDNA clones (see below). Note that, in contrast to mRAR-y (8), there is no in-frame stop codon upstream of the designated methionine initiation codon of $hRAR-\gamma A$ (see Fig. 1) and $hRAR-\gamma D$ (see below; Fig. 2). This assigned position for the initiating codon for hRAR-yA and -yD is therefore only tentative and based on the overall high homology of hRAR-y and mRAR-y amino acid sequences (see above). It is important to note that the nucleotide sequence of hRAR-yA upstream from the initiating codon of the common ORF (positions 130-414) is 77% homologous to the corresponding 5' untranslated region of mRAR-y cDNA (see ref. 8).

Multiple Forms of hRAR-γ cDNA Differing in Their 5' Regions. Although hRAR-yA and -yD cDNAs encode a common 454-amino acid long sequence, they diverge for the first 20 bases at the 5' end of hRAR-yD cDNA (Fig. 2, underlined sequence). Note that these divergent bases would place in-frame an upstream methionine codon (boxed in Fig. 2) conceptually adding 13 amino acid residues to the common 454-amino acid sequence. Three additional hRAR-y cDNA clones were found that are not colinear with either hRAR-yA or -yD in their 5' regions. hRAR-yC contains a 144-base-pair insertion (underlined in Fig. 2) between the residues corresponding to positions 272 and 273 of hRAR-yA. This insertion contains a termination codon (boxed in Fig. 2) in-frame with the initiation codon of the common 454-amino acid long ORF.

The last two cDNA clones, hRAR-yB and -yE, diverge from the other clones at a point that corresponds exactly to the boundary between the two exons that separately encode the A and B regions in both hRAR- α and - β (arrowhead in Fig. 2; see ref. 5). However, these two clones are different from one another; the sequence of hRAR-yE diverges from those of all of the other forms of hRAR-y cDNAs upstream from this point, whereas the corresponding sequence of hRAR-yB is colinear with the first 209 residues of hRAR-yA cDNA and with the first 95 residues of hRAR- γ C cDNA (see Fig. 2). For

GCG SCA CTC AGG GGG TCT CSG CCT TTC GAS ATG CTG AGC CCT AGC TTC CGG GGC CTG GGC CTG GAC CTC CCC AAG GAG ATG GCC TCT Gly Ala Leu Arg Gly Ser Pro Pro Phe Glu Met Leu Ser Pro Ser Phe Arg Gly Leu Gly Gln Pro Asp Leu Pro Lys Glu Met Ala Ser TCG GTG GAG ACA CAG AGC ACC AGC TCA GAG GAG ATG GTG CCA AGC TCG CCC TCG CCC CCT CCG CCT CCG GTC TAC AAG CCA TCC Ser Val Glu Tbr Gln Ser Tbr Ser Ser Glu Glu Met Val Pro Ser Ser Pro Ser Pro Pro Pro Pro Pro Arg Val Tyr Lys Pro Cys GTG TGC AAT GAC AAG TCC TCT GGC TAC CAC TAT GGG GTC AGC TCT TGT GAA GGC TGC AAG GGC TTC TTT CGC CGA AGC ATC CAG AAG Val Cys Asn Asp Lys Ser Ser Gly Tyr Bis Tyr Gly Val Ser Ser Cys Glu Gly Cys Lys Gly Phe Phe Arg Arg Ser Ile Gln Lys AAC ATG GTG TAC ACG TGT CAC COC GAC AAA AAC TGT ATC ATC AAC AAG GTG ACC AGG AAT COC TGC CAG TAC TGC COG CTA CAG AAG TGC Asn Het Val Tyr Thr Cys Bis Arg Asp Lys Asn Cys Ile Ile Asn Lys Val Thr Arg Asn Arg Cys Gln Tyr Cys Arg Leu Gln Lys Cys TCC AAG GAA GCT GTG CGA AAT GAC CGG AAC AAG AAG AAA GAG GTG AAG GAA GGA GGA CCT GAC ACC TAT Ser Lys Glu Ale Vel Arg Asn Asp Arg Asn Lys Lys Lys Glu Vel Lys Glu Glu Gly Ser Pro Asp Ser Tyr 180 D CTG AGC CCT CAG TTA GAA GAG CTC ATC ACC AAG GTC AGC AAA GCC CAT CAG GAG ACT TTC CCC TCG CTC TOC CAG CTG GGC AAG TAT Leu Ser Pro Gln Leu Glu Glu Leu Ile Thr Lys Val Ser Lys Ala Bis Gln Glu Thr Phe Pro Ser Leu Cys Gln Leu Gly Lys Tyr ACC AAC TCC ACT GCA GAC CAC CGC GTG CAG CTG GAT CTG GGG CTG TGG GAC AAG TTC ACT GAG CTG GCT ACC AAG TOC ATC AAC TDr Asn Ser Ser Ala Asp Bis Arg Val Gln Leu Asp Leu Gly Leu Trp Asp Lys Phe Ser Glu Leu Ala Thr Lys Cys Ile Ile Lys 240 C GTG GAG TTT GCC AAG CGG TTG CCT GGC TTT ACA GGG CTC AGC ATT GCT GAC CAG ATC ACT CTG CTC AAA GCT GCC TGC CTA GAT ATC 9 Val Glu Phe Ala Lys Arg Leu Pro Gly Phe Thr Gly Leu Ser Ile Ala Asp Gln Ile Thr Leu Leu Lys Ala Ala Cys Leu Asp Ile 270 CTG ATG CTG CGT ATC TGC ACA AGG TAC ACC CCA GAG CAG GAC ACC ATG ACC TTC TCC GAC GGG CTG ACC CTG AAC CGG ACC CAG ATG CAC Leu Met Leu Arg Ile Cys Thr Arg Tyr Thr Pro Glu Gln Asp Thr Met Thr Phe Ser Asp Gly Leu Thr Leu Asn Arg Thr Gln Met Bis AAT GCC CGC TTC GGG CCC CTC ACA GAC CTT GTC TTT GCC TTT GCT GGG CAG CTC CTG CCC CTG GAG ATG GAT GAC ACC GAG ACA GGG CTG Asn Ala Gly Phe Gly Pro Leu Thr Asp Leu Val Phe Ala Phe Ala Gly Gln Leu Leu Pro Leu Glu Het Asp Asp Thr Glu Thr Gly Leu CTC AGC GCC ATC TGC CTC ATC TGC GGA GAC CGC ATG GAC CTG GAG GAG CCC GAA AAA GTG GAC AAG CTG CAG GAG CCA CTG CTG GAA GCC Leu Ser Ala Ile Cys Leu Ile Cys Gly Asp Arg Het Asp Leu Glu Glu Pro Glu Lys Val Asp Lys Leu Glu Pro Leu Leu Glu Ala 160 CTG AGG CTG TAC GCC CGG CGC CGG CGC AGC CAG CCC TAC ATG TTC CCA AGG ATG CTA ATG AAA ATC ACC GAC CTC CGG GGC ATC AGC Leu Arg Leu Tyr Ala Arg Arg Arg Pro Ser Gln Pro Tyr Het Phe Pro Arg Het Leu Het Lye Ile Thr Asp Leu Arg Gly Ile Ser ACT AAG CGA CCT GAA ACG GCC ATT ACT CTG AAG ATG GAG ATT CCA GGC CCG ATG CCT CCC TTA ATC CGA GAG ATG CTG GAG AAC CCT GAA The Lys Gly Ala Glu Arg Ala Ile The Leu Lys Het Glu Ile Pro Gly Pro Het Pro Pro Leu Ile Arg Glu Het Leu Glu Asn Pro Glu TON CONGOCCCCTGACCTCCCCCCTTTC/CGTTTGGGGCTTCAGGCAGACTGACCATCACCATCACCACACCGCCAGTGACTGGGGGAGGACCTGCTCTGCCC Lys Ser Pro Ala End Ser Pro Glr. Pro Asp Gln Gly Pro End

FIG. 1. hRAR-yA cDNA sequence and comparison of its deduced amino acid sequence with that of mRAR-y. The nucleotide sequence of he hRAR-γA clone is shown from the first nucleotide following the EcoRI linker to rucleotide 1894. The amino acid sequence representing the ORF common to most of the clones analyzed is shown below their respective codons from the assigned initiation ATG codon (see text). The numbers on the left refer to the position of the nucleotides and those on the right refer to those of the amino acids. The sequence was divided into six regions (A-F, see text). Regions A, C, and E are boxed. Boxed amino acid residues represent those encoded by the cDNA sequence for mRAR-y, which differ from those encoded by the hRAR-y cDNA.

Fig. 2. Comparison of the 5' regions of five hRAR-y cDNAs. Alignment of the 5' sequences of five divergent human RAR-y cDNA clones (indicated on the right as hRAR-y A-E) is shown. For each cDNA, the numbers on the left refer to the position of the first nucleotide in each line with respect to the most 5' nucleotide (numbered from 1 in each case). The size of each of the hRAR-y cDNAs is indicated following the most 3' nucleotide. Gaps in homology are shown by dots. The nucleotides that in hRAR- γ C, $-\gamma$ D, and $-\gamma$ E cDNAs are divergent from those of hRAR-γA are underlined. Arrowhead (*) indicates the position separating regions A and B (see text). The assigned initiation ATG for the hRAR-y ORF common to hRAR-yA, -yC, and -yD, and the upstream stop codon in-frame with this ATG in hRAR-yC are boxed, as well as the upstream in-frame ATG in hRAR-yD.

all hRAR-y cDNAs, except for hRAR-yC (see above), the common ORF remains open to their 5' end, which raises the possibility of the existence of several RAR-y proteins, differing in their N-terminal region, in addition to the protein containing the common 454-amino acid long sequence defined above.

hRAR-γ Acts as a RA-Inducible Transcription Factor. To test the ability of hRAR-y to activate transcription, hRAR-yD cDNA was subcloned into the eukaryotic expression vector pSG5 (15) to give hRAR-y0, which was cotransfected into HeLa cells along with a reporter plasmid, (TRE3)3-tk-CAT, which contains a synthetic RA responsive element (see ref. 8). The transfected cells were exposed to increasing concentrations of RA, and RA-induced activation of transcription was estimated 24 hr after transfection by determining the CAT activity expressed from the reporter gene (Fig. 3). Maximal transactivation was achieved at 10^{-8} - 10^{-7} M RA. within a RA concentration range similar to that required to

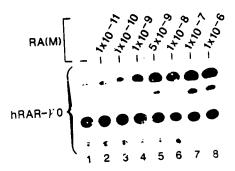


Fig. 3. RA-dependent transcriptional activation by hRAR-yO. The CAT activity resulting from activation of the reporter gene (TRE3)3-tk-CAT by hRAR-yO in the presence of RA (as indicated) is shown. Extracts of HeLa cells transiently transfected and treated with RA were assayed for CAT activity (4).

achieve maximal stimulation with expression vectors derived from hRAR- α and - β (4, 5, 8), indicating that the cloned hRAR-y cDNAs encode a functional RAR. As previously reported for hRAR- α and - β (4, 5), retinol was a much less potent activator than either all-trans- or 13-cis-retinoic acid (data not shown).

hRAR-7 RNA Is the Predominant RAR RNA Species in Skin. In a recent study (8), we have compared the distribution of mRAR- α , - β , and - γ mRNA in a variety of adult mouse tissues and found that mRAR- α and - β were expressed in many of the tissues examined, whereas the expression of mRAR-7 RNA was almost exclusively restricted to skin (with very low levels of expression in lung and spleen). Northern blot analyses of total RNA extracted from adult human skin and poly(A)+ RNA prepared from fetal skin (Fig. 4, lanes 5 and 6, respectively), using 32 P-labeled hRAR- α , - β , and - γ cDNA probes under stringent conditions, showed that hRAR-y RNA was also the predominant RAR RNA species in both adult and fetal human skin (the densitometric scanning of the reference actin RNA signal indicated that there was 7-fold more actin mRNA in lane 6 than in lane 5). hRAR-y RNA may also be present in human lung (lane 7), albeit at a much lower level.

We also examined the expression of all three RAR RNAs in several human cell lines, including the breast cancer cell line T47D from which hRAR-a and -y cDNAs have been cloned (lane 8). A comparatively high level of hRAR-y RNA was seen in a human teratocarcinoma cell line (lane 2), which is reminiscent of a similar predominance of mRAR-y RNA in the mouse F9 teratocarcinoma cell line (8). In contrast, we could not detect expression of either hRAR-y or -\beta in the HepG2 human hepatoma cell line (lane 3), and the relative level of hRAR-y was low in both the adenovirus-transformed 293 cell line (lane 3) and a neuroblastoma cell line (lane 1). Note also that hRAR-\alpha appears to be ubiquitously expressed and that the ratio of the two subspecies of hRAR- α and - β

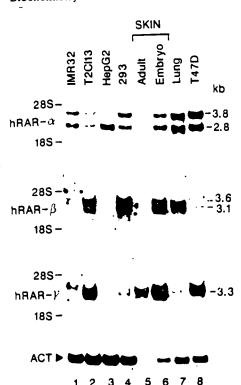


Fig. 4. Northern blot analysis of hRAR- α , - β , and - γ RNAs from various tissues and cells. RNA preparation, blotting, electrophoresis, and hybridization with 32P-labeled cDNA probes were as described in Materials and Methods. Lanes: 1-4, 7 µg each of poly(A)+ RNA prepared from human neuroblastoma cells IMR32 (16), human teratocarcinoma cells T2Cl13 (17), human hepatoma cells HepG2 (18), and human adenovirus-transformed cells 293 (19) as indicated; 5, 20 μ g of total RNA extracted from adult human skin; 6 and 7, 4 μ g each of poly(A)+ RNA from human fetal skin and adult lung as indicated; 8, 4 µg of poly(A)* RNA from T47D breast cancer cells. Autoradiography was for 14 hr, 7 days, and 48 hr with hRAR- α , - β , and -y cDNA probes, respectively. Blots were rehybridized with an actin (ACT) cDNA probe (8) to check the integrity of the RNA preparation. Sizes shown on the right in kb for the RAR RNA species were calculated from their migration relative to the 28S and 18S rRNAs whose sizes are 4712 and 1869 nucleotides, respectively (20-22).

RNA was variable among the different cell lines examined. The significance of these differences in size and abundance of hRAR- α and $-\beta$ transcripts remains to be established.

The Cognate Members of Human and Mouse RARs Are Highly Conserved. The structure of nuclear receptors was divided into six discrete regions designated A-F, originally defined by amino acid sequence comparisons between various members of the family and by analyzing the conservation across species of the primary structure of a given member of the nuclear receptor family (see ref. 23 and refs. therein). The three human RARs can be similarly divided (see ref. 5 and Fig. 1) and aligned with their murine cognates (Fig. 1; see figure 1 a and b in ref. 8). In all three cases, the comparison of the human and mouse sequences of a given RAR indicates a high degree of conservation between each of the corresponding domains (Table 1, columns 2, 3, and 4). Greater than 90% amino acid identity is apparent between each of the corresponding regions (A-F) of the human and murine homologs, except for region F of RAR-y (column 2, row F). The lower degree of conservation (58%) between regions F of mouse and human is due to a frameshift mutation in the sequence corresponding to the end of region F (see above). A similar comparison, but between the three human RARs (columns 5, 6, and 7), reveals a high degree of nomology

Table 1. Amino acid sequence similarities between human and mouse RARs

_	hγ/mγ	hα/mα	$h\beta/m\beta$	hγ/hα	$h\gamma/h\beta$	$h\alpha/h\beta$	hER/mER
$\overline{\mathbf{A}}$	98	98	94	25	<15	<15	95
В	100	100	100	75	86	79	80
Ċ	100	98	100	97	94	97	100
D	100	98	98	72	62	74	80
Ε	100	99	99	84	190	90	96
F	58	90	92	20	<15	20	60

The amino acid sequences of regions A-F (column 1) previously defined for hRAR- α and - β (5), for mRAR- α . - β , and - γ (see ref. 8 and Fig. 1), and for hRAR- γ were compared. For each set of comparisons, the percentage of amino acid identity (after optimal alignment) is shown (columns 2-7). Column 8 corresponds to a similar comparison between regions A-F of the human (24) and mouse (25) estrogen receptors (hER and mER). h α . - β , and - γ , and m α . - β . and - γ . respectively.

between hRAR- α , - β , and - γ only for the regions corresponding to the DNA binding (region C) and the RA binding (region E) domains. Most noteworthy is the almost complete divergence of regions A (compare in row A, columns 5-7 with columns 2-4) and F (compare in row F, columns 5-7 with columns 2-4). The overall conservation of region D is also lower between the three human RARs than between two corresponding members of the human and mouse subfamilies (compare columns 5-7 with columns 2-4). In fact, as observed also in the case of the three mouse RARs (8), the homology is even lower for a 24-amino acid long central section of region D (\approx 40% sequence similarity between all three human RARs).

DISCUSSION

We have described here cDNA clones isolated from a human T47D cell library that contain a common ORF encoding a protein highly homologous to the recently characterized mRAR- γ (8). That this ORF encodes a functional RAR was established by its expression in HeLa cells, where it could activate the transcription of a RA-responsive reporter gene within a range of RA concentration similar to that reported for transcriptional trans-activation by the different members of the human (4, 5) and mouse (8) RAR subfamily. This brings to three the number of hRARs characterized to date.

The extensive amino acid sequence homology between hRAR-y and mRAR-y suggests strongly that they are functionally equivalent. In this respect, we note that the hRAR-y gene is located on chromosome 12, whereas the mRAR-y gene is located on the mouse chromosome counterpart, chromosome 15 (M. G. Mattei, M.P., E. Passage, A.Z., Ph.K., A.K., and P.C., unpublished data). The comparisons of the amino acid sequences of human and mouse RARs also suggest strongly that hRAR- α and mRAR- α , and hRAR- β and mRAR-β, are functionally equivalent. It is particularly striking that the A, B, D, and F regions are highly conserved between human and mouse for a given member of the RAR subfamily, whereas they are not, or to a much lesser extent, when comparing the different RARs of either human or mouse (Table 1). These regions are usually not as well conserved across species for a given steroid hormone receptor (see Table 1, column 8, for a comparison between the human and mouse estrogen receptors). The almost complete conservation across species in the case of each of the three members of the RAR subtamily indicates that these regions must be important for their functional specificity. Since the DNA-binding domains (regions C) of RAR- α , - β , and - γ are highly conserved, all three receptors may interact with the same responsive elements. The A/B regions of the estrogen and progesterone receptors appear to play a role in specific

Proc

transcriptional trans-activation of some target genes (refs. 23 and 26 and refs. therein). The different A/B regions of the three RARs may have a similar function. The lower conservation for the F region of human and mouse RAR-y is due to a single base difference in the region encoding the last amino acids of this region. The same single base difference was found in two independent mRAR-y cDNA clones (8) and in all three hRAR-y cDNA clones that contain this region (see above). Moreover, we can exclude the possibility that the T47D breast cancer cell hRAR-y gene bears a mutation not present in normal cells, since the same sequence was found in cDNA derived from skin hRAR-y mRNA and amplified by polymerase chain reaction (data not shown). Several hRAR-y cDNA clones that we have analyzed here differ in their 5' regions. In two cases (hRAR-yB and -yE), these differences may well reflect alternative splicing, since the point of divergence is located at a position that is known to correspond to the boundary between the exons separately encoding regions A and B of both hRAR-α (N. Brand and P.C., unpublished results) and hRAR-β (see refs. 4, 5, and 27). We note that a similar situation may exist in the case of the thyroid hormone receptors for which two β forms diverging at the corresponding exon-intron boundary (4, 28) have been recently described (29). Whether alternative splicing is also responsible for the other differences seen in the hRAR cDNA 5' regions is unknown. Since the hRAR-y major ORF remains open up to the 5' end in four of five cDNA clones that we have sequenced here, it is possible that there are several hRAR-y proteins that differ in their N-terminal sequence and thus exhibit different target gene specificity (see above).

We have shown elsewhere that mouse RAR- γ RNA is expressed at a much higher level in skin than in any other adult tissue analyzed (8). hRAR- γ RNA is also the predominant RAR RNA species in human adult and fetal skin (Fig. 4). This observation is particularly interesting in view of the effect of retinoids on skin, both in normal and pathological states (see Introduction for refs.). That hRAR- γ RNA is the predominant RAR species in a human teratocarcinoma (Fig. 4) suggests that hRAR- γ , along with hRAR- α and - β , may also play a role during embryogenesis.

It has been thought that the range and diversity of effects of RA would preclude the possibility that a single molecular mechanism might account for all of them. Direct control of gene expression by multiple RARs may, however, account for a large proportion of the RA effects, provided that the various RARs would exhibit different spatial and temporal patterns of expression and would specifically activate different target genes.

We are grateful to Dr. H. de Verneuil for the reporter gene (TRE₃)₃-tk-CAT; to J. M. Garnier for the \(\lambda\)gt10 T47D cell cDNA library; to Drs. J. M. Wihlm and G. Morand for human lung; to Drs. A. Wilk, B. Gairard, and R. Renaud for human skin; to Dr. G. Rebel for IMR32 cells; and to Drs. C. F. Graham and P. T. van der Saag for T2Cl13 cells. We thank A. Staub and F. Ruffenach for oligonucleotide synthesis, C. Bronn for excellent technical assistance. C. Werlé and B. Boulay for illustrations, and the secretarial staff. This work was supported by the Institut National de la Santé et de la Recherche Médicale (Grant CNAMTS), the Centre National de la Recherche Scientifique, the Fondation pour la Recherche Médicale, and the Association pour la Recherche sur le Cancer. A.Z. and M.P.

were recipients of fellowships from the Anna Fuller Fund and the Medical Research Council (Canada), respectively.

- Roberts, A. B. & Sporn, M. B. (1984) in The Retinoids. eds. Sporn, M. B., Roberts, A. B. & Goodman, D. S. (Academic, Orlando, FL), Vol. 2, pp. 209-286.
- Maden, M., Ong, D. E., Summerbell, D. & Chytil, F. (1988) Nature (London) 335, 733-735.
- Chytil, F. & Ong, D. (1984) in The Retinoids, eds. Sporn, M. B., Roberts, A. B. & Goodman, D. S. (Academic, Orlando, FL), Vol. 2, pp. 89-123.
- Petkovich, M., Brand, N. J., Krust, A. & Chambon, P. (1987) Nature (London) 330, 444-450.
- Brand, N., Petkovich, M., Krust, A., Chambon, P., de Thé, H., Marchio, A., Tillois, P. & Dejean, A. (1988) Nature (London) 332, 850-853.
- Giguère, V., Ong, E. S., Segui, P. & Evans, R. M. (1987) Nature (London) 330, 624-629.
- Benbrook, D., Lernhardt, E. & Pfahl, M. (1988) Nature (London) 333, 669-672.
- Zelent, A., Krust, A., Petkovich, M., Kastner. Ph. & Chambon, P. (1989) Nature (London), in press.
- Peck, G. L. (1984) in *The Retinoids*, eds. Sporn, M. B., Roberts, A. B. & Goodman, D. S. (Academic, Orlando, FL), Vol. 2, pp. 391-411.
- Kopan, R., Traska, G. & Fuchs, E. (1987) J. Cell Biol. 105, 427-440.
- Brown, R., Gray, R. H. & Bernstein, I. A. (1985) Differentiation 28, 268-278.
- Sanger, F., Nicklen, S. & Coulson, A. R. (1977) Proc. Natl. Acad. Sci. USA 74, 5463-5468.
- Chirgwin, J. M., Przybyla, A. E., MacDonald, R. J. & Rutter, W. J. (1974) Biochemistry 18, 5294-5299.
- Ausubel, F. M., Brent, R., Kingston, R. E., Moore, D. D., Seidman, J. G., Smith, J. A. & Struhl, K., eds. (1987) Current Protocols in Molecular Biology (Wiley, New York).
- Green, S., Issemann, I. & Scheer, E. (1988) Nucleic Acids Res. 16, 369.
- Tumilowicz, J. J., Nichols, W. W., Cholon, J. J. & Greene, A. E. (1970) Cancer Res. 30, 2110-2118.
- Weima, S. M., van Rooijen, M. A., Mummery, C. L., Feijen, A., Kruijer, W., de Laat, S. W. & van Zoelen, E. J. J. (1988) Differentiation 38, 293-310.
- Knowles, B., Howe, C. C. & Aden, D. P. (1980) Science 209, 497-499.
- Graham, F., Smiley, J., Russel, W. C. & Narin, B. (1977) J. Gen. Virol. 36, 59-72.
- Hassouna, N., Michot, B. & Bachellerie, J.-P. (1984) Nucleic Acids Res. 12, 3563-3567.
- 21. Raynal, F., Michot, B. & Bachellerie, J.-P. (1984) FEBS Lett. 167, 263-268.
- 22. McCallum, F. S. & Maden, E. H. (1985) Biochem. J. 232, 725-733
- 725-733. 23. Green, S. & Chambon, P. (1988) Trends Genet. 4, 309-314.
- Krust, A., Green, S., Argos, P., Kumar, V., Walter, P., Bornert, J. M. & Chambon, P. (1986) EMBO J. 5, 891–897.
- White, R., Lees, J. A., Needham, M., Ham, J. & Parker, M. (1987) Mol. Endo. 1, 735-744.
- Tora, L., Gronemeyer, H., Turcotte, B., Gaub, M. P. & Chambon, P. (1988) Nature (London) 333, 185-188.
- 27. Dejean, A., Bougueleret, L., Grzeschik, K.-H. & Tiollais, P. (1986) Nature (London) 322, 70-72.
- 28. Zahraoui, A. & Cuny, G. (1987) Eur. J. Biochem. 166, 63-69.
- Zamaodi, A. & Cully.
 Hodin, R. A., Lazar, M. A., Wintman, B. I., Darling, D., Koenig, R. J., Larsen, P. R., Moore, D. D. & Chin, W. W. (1989) Science 244, 76-79.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:				
BLACK BORDERS				
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES				
☐ FADED TEXT OR DRAWING				
BLURRED OR ILLEGIBLE TEXT OR DRAWING				
M SKEWED/SLANTED IMAGES				
COLOR OR BLACK AND WHITE PHOTOGRAPHS				
☐ GRAY SCALE DOCUMENTS				
LINES OR MARKS ON ORIGINAL DOCUMENT				
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY				
OTHER:				

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.